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Art Unit: 2814

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From: Winston Hsu, Registration No. 41,526

Serial No.: 10/791,709

Attorney Docket No.: UECP0012USA

Subject: Information Disclosure Statement (IDS)

Total Pages: 54 pages (including cover page)

Winston Hsu 2006/03/22

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UECP0012USA0_DT_3

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PTO/\$B/21 (09-04)

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TRANSMITTAL	Application Number	10/791,709			
1	Filing Date	03/04/2004			
FORM	First Named Inventor	Jen-Chau Wu			
	An Unit	2814			
(to be used for all correspondence after initial t	fling) Examiner Name	PHAM, LONG			
Total Number of Pages in This Submission	53 Attorney Docket Number	UECP0012USA			
	ENCLOSURES (Check all ti	hat angle)			
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s)	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Ad Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD Remarks				
Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53					
Firm Name	TURE OF APPLICANT, ATTOR	NE 1, UK AGENT			
North America Intellec	tual Property Corporation				
Signature Com to those	e.				
Printed name Winston Hsu	Printed name Winston Hsu				
Date 3/22/2006	Re	eg. No. 41,526			
CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimite transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Palents, P.O. Box 1450, Alexandria, VA 22313-1450 on					
Signature System	len				
Typed or printed name Byron Yen	THE RESERVE OF STATE OF THE PROPERTY OF THE PERSON OF THE	Date 3/22/2006			

This collection of Information is required by 37 CFR 1.5. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is astimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case, Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Palarthem of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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2006-03-22 08:09:40 (GMT)

PTO/SB/17 (12-04v2)
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Effective on 12/00/2004.			Complete if Known				
Fees pursuam to the Consolidated Appropriations Act, 2005 (H.R. 4818).			Application Nu	mber 10/79	1,709		
FEE TRANSMITTAL			Filing Date	03/04	03/04/2004		
For FY 2005			First Named In	ventor Jen-C	Jen-Chau Wu		
Applicant claims small entity status. See 37 CFR 1.27			Examiner Nam	e PHAN	PHAM, LONG		
Applicant c	laims small entity st	atus. See 3	7 CFR 1.27	Art Unit	2814		
TOTAL AMOUN	T OF PAYMENT	(\$) 0.00		Attorney Docke	et No. UECP	0012USA	
METHOD OF	PAYMENT (chec	k all that ap	oly)				
Check	Credit Card	Money (order No	ne Other	(please identity):		
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Fee Description Each claim	2. EXCESS CLAIM FEES Fee Description Each claim over 20 (including Reissues) Each independent claim over 3 (including Reissues) Each independent claim over 3 (including Reissues) Each independent claim over 3 (including Reissues) Small Entity Fee (\$) Fee (\$) 25 20 100						
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3. APPLICATION SIZE FEE							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer							
listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50							
sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets							
4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount) Fees Paid (\$)							
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SUBMITTED BY	/		·	Registration No.	41.696	Telephone	7027201543
Signature	Carle and from	O Table	- 1	(Attornov/Anant)	41,526	· · · · · · · · · · · · · · · · · · ·	3027291562

Name (Print/Type) Winston Hsu Date 3/22/2006

This collection of information is required by 37 CFR 1.135. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time your require to complete this form and/or suggestions for reducing this burdon, should be sent to the Chief Information Officer, U.S. Patent and Tradomark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO					Complete if Known			
					Application Number	10/791,709		
			AL DIAA		Filing Date	03/04/2004		
INFORMATION DISCLOSURE					First Named Inventor	Jen-Chau Wu		
STATEMENT BY APPLICANT				PLICANT	Art Unit	2814		
(Use as many shoots as nocessary)					Examiner Name	PHAM, LONG		
	Sheet		of	}	Attorney Docket Number	UECP0012USA		

	U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite Na.1	Document Number	Publication Date MM-OD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite	Foreign Patent Document	Publication Date	Name of Patentee of Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	}	
		Country Code ² "Number * "Kind Code ² (X known)	MM-DD-YYYY		Or Relevant Figures Appear	Ta	
	1	DE 42 42 604 A1	06-24-1993	GOLD STAR CO		/	
	2	DE 695 08 639 T2	()9-30-1999	CANON KABUSHIKI KAISHA Tokyo		~	
	3	EP 0 678 982 B1	03-31-1999	CANON KABUSIBKI KAISHA TOLYO			
						 	
						1	

Examiner	Date	
Signature	Considered	1
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"EXAMINER: Initial if reference considered, whether or not citedon is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique distation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uscto.gogs or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter rode (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation to attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or usual a banefit by the public which is to file (and by the

Isinguage Translation to attached.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any commonts on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. 80x 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS AUDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Wu, Jen-Chau et al.

5 Appl. No.: 10/791,709 Filing Date: 03/04/2004

Examiner: Pham, Long Art Unit: 2814

Docket No.: UECP0012USA Confirmation No.: 5086

Title: ESD Protection Configuration and Method for Light

10 Emitting Diodes

To: Commissioner for Patents

P.O. BOX 1450

Alexandria, VA 22313-1450

Subject: Information disclosure statement under 37 CFR \$1.56

Dear Sir,

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This is an Information Disclosure Statement in accordance with the duty to disclose information material to patentability under 37 CFR \$1.56. The applicant wishes to make of record the documents listed on the accompanying form PTO/SB/08.

Since this IDS is filed after the mailing date of the first Office action but before notice of allowance, consideration of the information disclosure statement 30 is hereby requested according to 37 CFR \$1.97(c).

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That each item of information contained in the information disclosure statement was first cited in an office action dated January 30, 2006 for the counterpart German patent application number 102004014864.3, which are no more than three months from the filing of the information disclosure statement.

According to the requirement set forth in 37 CFR 10 \$1.98, the applicant is submitting a copy of the cited 69508639 T2 (published September 30, equivalent to EP 0678982 B1 (published March 31, 1999, in English language), and DE 4242604 Al (published June 24, 1993). In accordance with MPEP 609.04(a) III, an 15 English-language equivalent application may submitted to fulfill the requirement for a concise explanation of relevance. Accordingly, English-language equivalent EP 0678982 B1 is hereby presented to fulfill the 37 CFR \$1.98(a)(3) 20 requirement.

According to the requirement set forth in 37 CFR \$1.98, a copy of the cited DE 4242604 Al and its English language abstract (as published on the webpage of esp@cenet) is included to fulfill the concise explanation requirement.

It is respectfully requested that the examiner can consider the documents listed on the accompanying form PTO/SB/08 and that it be made of record in the application. The applicants sincerely hope that the examiner initials the cited references on the form and that a copy of the initialed form be sent to the applicants with the next communication from the examiner.

مخلها معافا رنباب

Respectfully submitted,

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Weintontan

Date: March 22, 2006

Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

10 Voice Mail: 302-729-1562

Facsimile: 806-498-6673

e-mail : winstonhsu@naipo.com

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